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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Alan COLE, et al.

Group Art Unit: 2761

Serial No.: 09/627,951

Examiner: Not Assigned

Filed: July 28, 2000

For: **METHODS AND SYSTEMS FOR COLLATERAL MATCHING AND MARK TO
MARKET RECONCILEMENT**

TRANSMITTAL OF MISSING PARTS OF NONPROVISIONAL APPLICATION

Assistant Commissioner for Patents
Box: MISSING PARTS
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts of NonProvisional Application -- Filing
Date Granted mailed September 13, 2000, the following items are submitted herewith to complete
the formal filing requirements for the above-identified application:

- (1) Declaration/Power of Attorney (2) for this patent application executed by the inventors;
 - (2) Petition for Two-Month Extension to File;
 - (3) Checks for the following:
 - \$130.00 for surcharge fee pursuant to 37 CFR § 1.16(e);
 - \$390.00 for two-month extension fee pursuant to 37 CFR § 1.17(a)(1);
- and

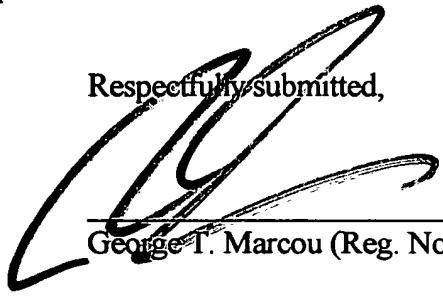
- (4) A copy of the Notice to File Missing Parts of NonProvisional Application - Filing Date Granted mailed on September 13, 2000.

If any additional fees are required in connection with the filing of this response, the Commissioner is hereby authorized to charge the same to Deposit Account No. 501458. A copy of this correspondence is provided for this purpose.

Date:

12/10/00
KILPATRICK STOCKTON LLP
700 13th Street, N.W., Suite 800
Washington, D.C. 20005
(202) 508-5846

Respectfully submitted,


George T. Marcou (Reg. No. 33,014)

Describe the Invention
 Atty: KSA/BFW
 Docket/Matter No: C10173
 Action: Hs, P, S
 Due: 11-13-00
 C/U: 10-13-11-6



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/627,951	07/28/2000	Alan Cole	

George T Marcou
 Kilpatrick Stockton LLP
 Suite 800
 700-13th Street NW
 Washington, DC 20005



FORMALITIES LETTER



OC000000005395433

Date Mailed: 09/13/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

L. Monroe
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/20/2000 SDUONG 00000194 09627951

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